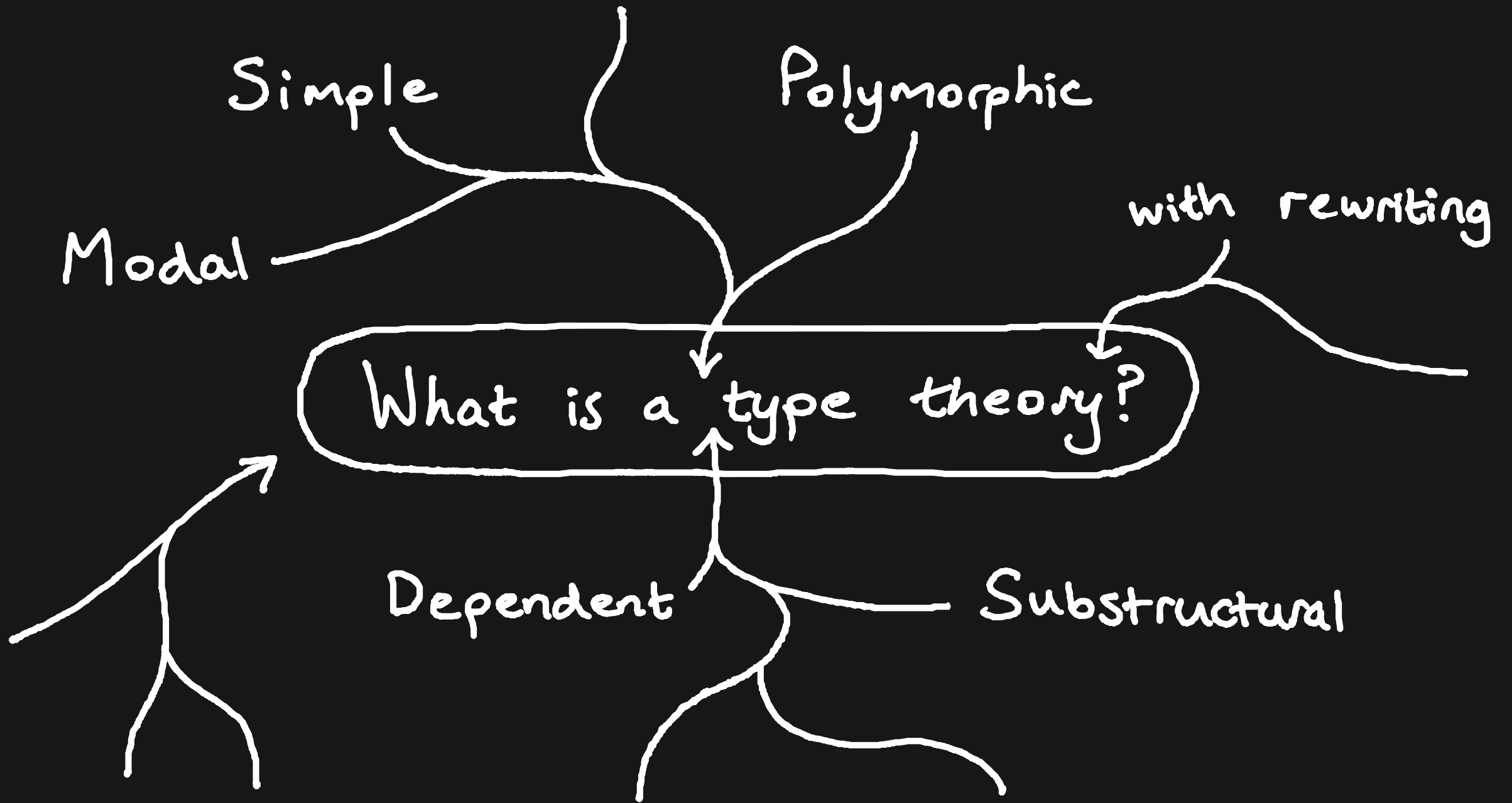


Algebraic models of simple type theory
(A polynomial approach)

Nathanael Arkor

Marcelo Fiore

What is a type theory?



Simple

Polymorphic

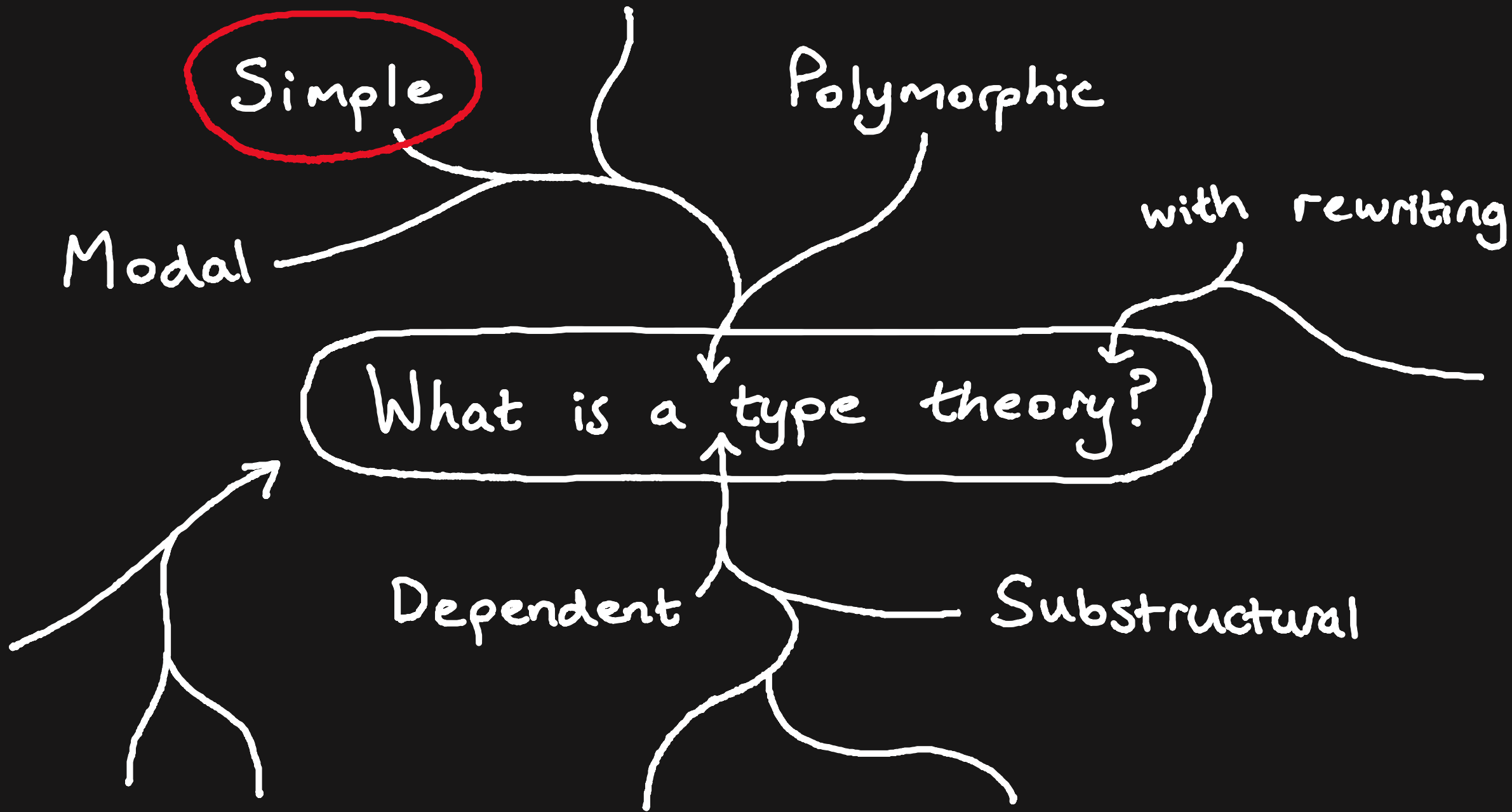
Modal

with rewriting

What is a type theory?

Dependent

Substructural



Simple

Polymorphic

Modal

with rewriting

What is a type theory?

Dependent

Substructural

What is a simple type theory?

$$\frac{\Gamma \vdash a:A \quad \Gamma \vdash b:B}{\Gamma \vdash \text{pair}(a,b):A \times B} \text{ (X-INTRO)}$$

$$\frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t):A \Rightarrow B} \text{ (}\Rightarrow\text{-INTRO)}$$

What is a simple type theory?

$$\frac{\Gamma \vdash a:A \quad \Gamma \vdash b:B}{\Gamma \vdash \text{pair}(a,b):A \times B} \text{ (x-INTRO)}$$

algebraic structure
on types

$$\frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t):A \Rightarrow B} (\Rightarrow\text{-INTRO})$$

What is a simple type theory?

algebraic
structure
on terms

$$\frac{\Gamma \vdash a:A \quad \Gamma \vdash b:B}{\Gamma \vdash \text{pair}(a,b):A \times B} \text{ (x-INTRO)}$$

algebraic structure
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What is a simple type theory?

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$$\frac{\Gamma \vdash a:A \quad \Gamma \vdash b:B}{\Gamma \vdash \text{pair}(a,b):A \times B} \text{ (x-INTRO)}$$

algebraic structure
on types

$$\frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t):A \Rightarrow B} \text{ (}\Rightarrow\text{-INTRO)}$$

binding
algebraic structure
on terms

What is a simple type theory?

$$\frac{\Gamma, x:A \vdash t:B \quad \Gamma \vdash a:A}{\Gamma \vdash \text{app}(\text{abs}(x.t), a) \equiv t[a/x]: B} (\Rightarrow -\beta)$$

What is a simple type theory?

$$\frac{\Gamma, x:A \vdash t:B \quad \Gamma \vdash a:A}{\Gamma \vdash \text{app}(\text{abs}(x.t), a) \equiv t[a/x] : B} (\Rightarrow - \beta)$$

equations on
types & terms



What is a simple type theory?

$$\frac{\Gamma, x:A \vdash t:B \quad \Gamma \vdash a:A}{\Gamma \vdash \text{app}(\text{abs}(x.t), a) \equiv t[a/x]: B} (\Rightarrow - \beta)$$

equations on
types & terms

capture-avoiding
substitution

What is a simple type theory?

- Algebraic type operators.
- Binding algebraic term operators.
- Substitution on terms.
- Equations on types.
- Equations on terms.

What is a simple type theory?

- Type operators.
- Binding term operators.
- Substitution on terms.
- Equations on types.
- Equations on terms.

E.g. Untyped λ -calculus, STLC, computational LC, predicate logic, functional arrows, partial differentiation, STLC with sums, universal algebras, etc.

What is a simple type theory?

- Type operators.
- Binding term operators.

Simply-typed
syntax

-
- Substitution on terms.
 - Equations on types.
 - Equations on terms.

Simple type
theory

Signatures & equational presentations

- Presentations for types as in universal algebra.
- Presentations for terms includes binding & substitution.

induce polynomial functors
 Σ_{ty} & Σ_{tm}

(Details omitted.)

Algebraic models of simple type theories

$$\Gamma, x:A \vdash t : B$$

Algebraic models of simple type theories

context structure

$\Gamma, x:A \vdash t : B$

type structure

term structure

Algebraic models of simple type theories

context structure

type structure

$\Gamma, x:A \vdash t : B$

term structure

(inc. substitution structure)

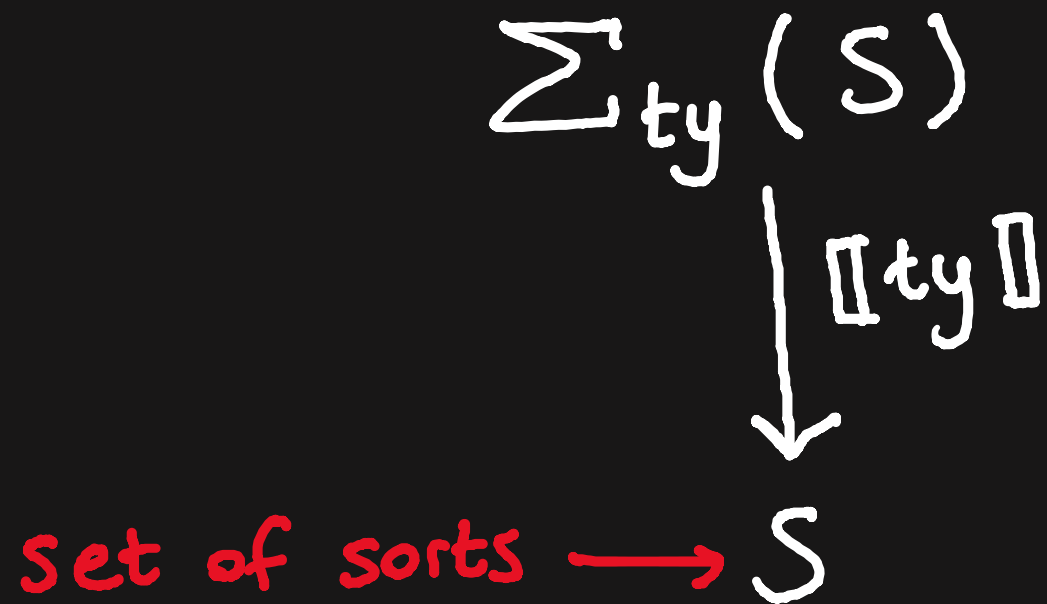
$t[a/x]$

Type algebras

$$\begin{array}{c} \Sigma_{\text{ty}}(S) \\ \downarrow \llbracket \text{ty} \rrbracket \\ S \end{array}$$

(as in universal algebra)

Type algebras

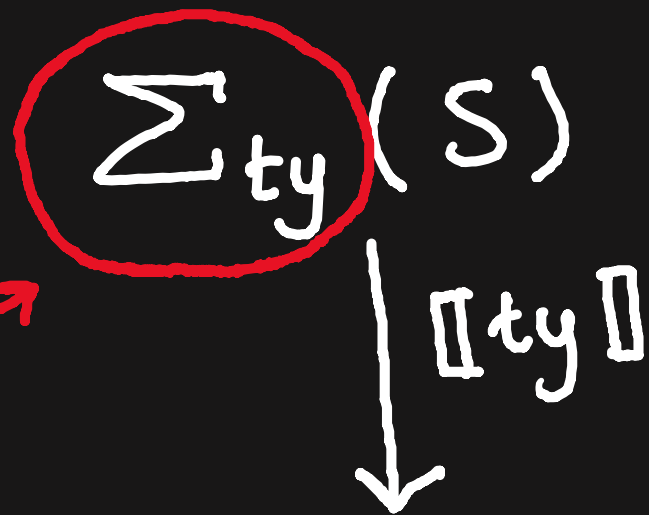


(as in universal algebra)

Type algebras

(polynomial)

functor induced
by type signature



set of sorts $\longrightarrow S$

(as in universal algebra)

Type algebras

(polynomial)

functor induced
by type signature

$\Sigma_{\text{ty}}(S)$

$\llbracket \text{ty} \rrbracket$

denotation of
type operators

set of sorts $\rightarrow S$

(as in universal algebra)

Type algebras

E.g. STLC.

$$S^2 + S^2 + 1$$



$[Prod, Fun, Unit]$

S

Context structures

$$S \xleftrightarrow{\langle - \rangle} \mathbb{C}$$

$$1 \in \mathbb{C}$$

$$\Gamma \times \langle A \rangle \in \mathbb{C} \quad (\Gamma \in \mathbb{C}, A \in S)$$

Context structures



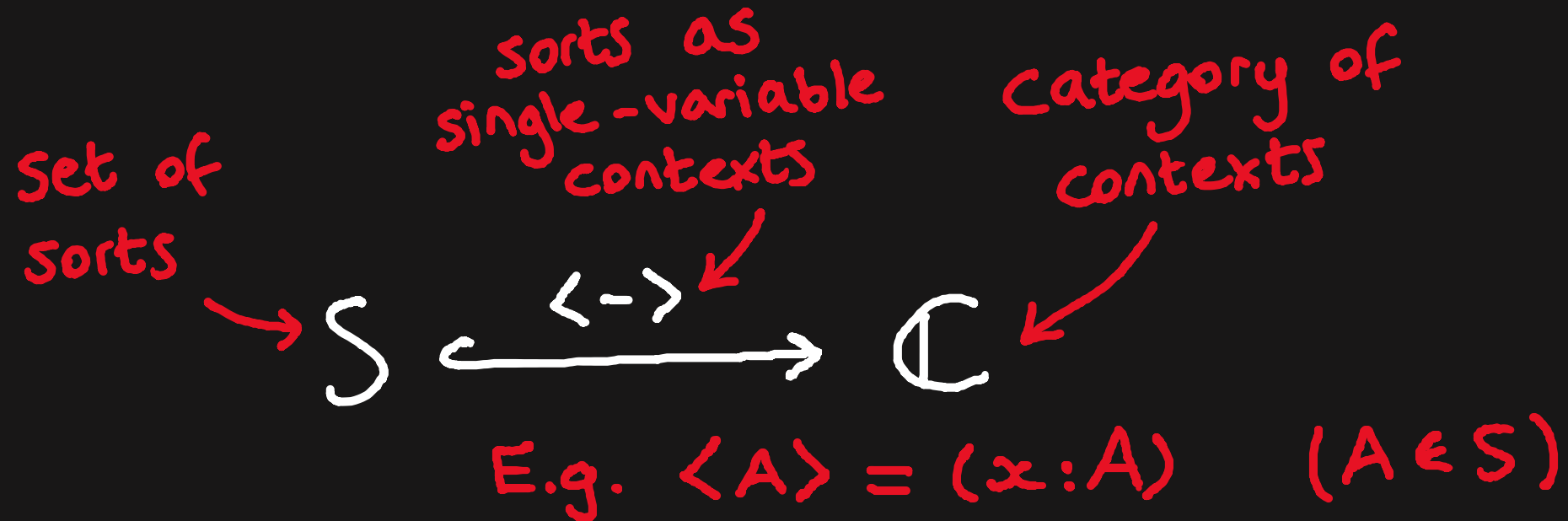
category of
contexts



$$1 \in \mathbb{C}$$

$$\Gamma \times \langle A \rangle \in \mathbb{C} \quad (\Gamma \in \mathbb{C}, A \in S)$$

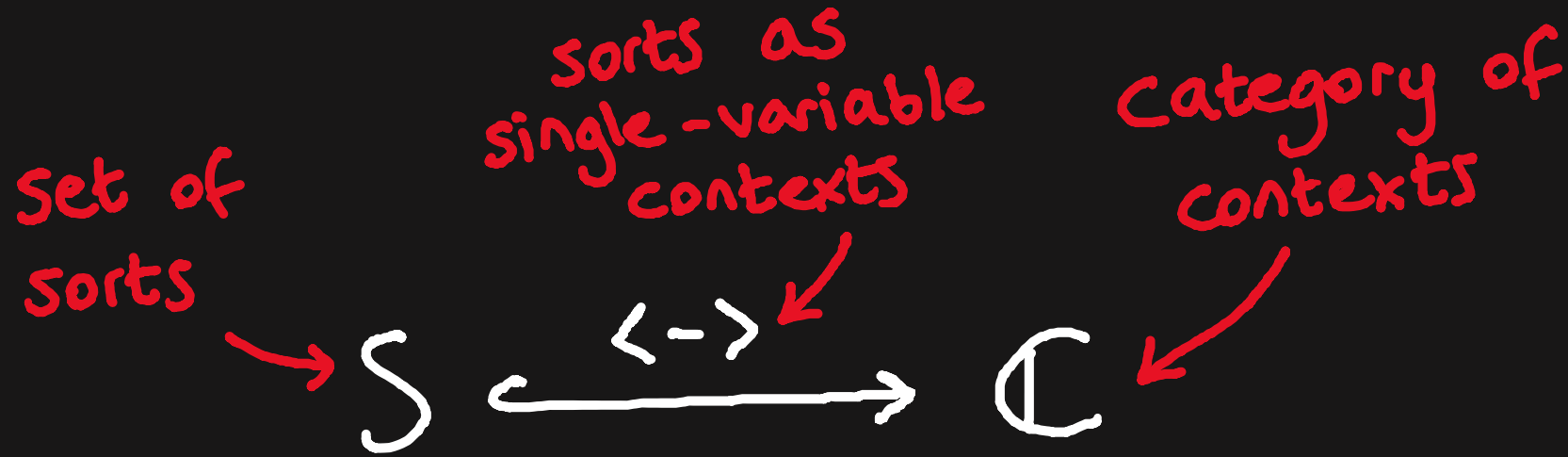
Context structures



$$1 \in \mathbb{C}$$

$$\Gamma, x \langle A \rangle \in \mathbb{C} \quad (\Gamma \in \mathbb{C}, A \in S)$$

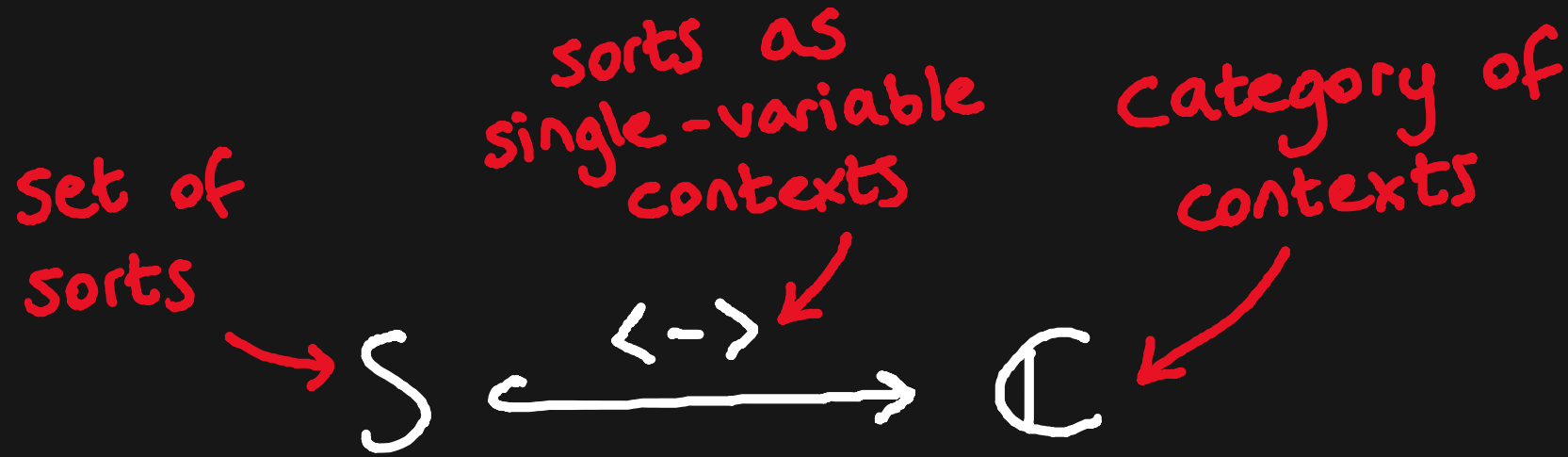
Context structures



empty context \downarrow
 $1 \in \mathbb{C}$

$$\Gamma \times \langle A \rangle \in \mathbb{C} \quad (\Gamma \in \mathbb{C}, A \in S)$$

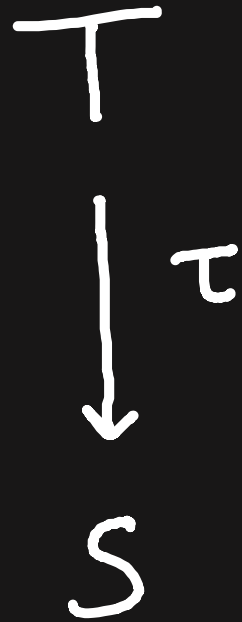
Context structures



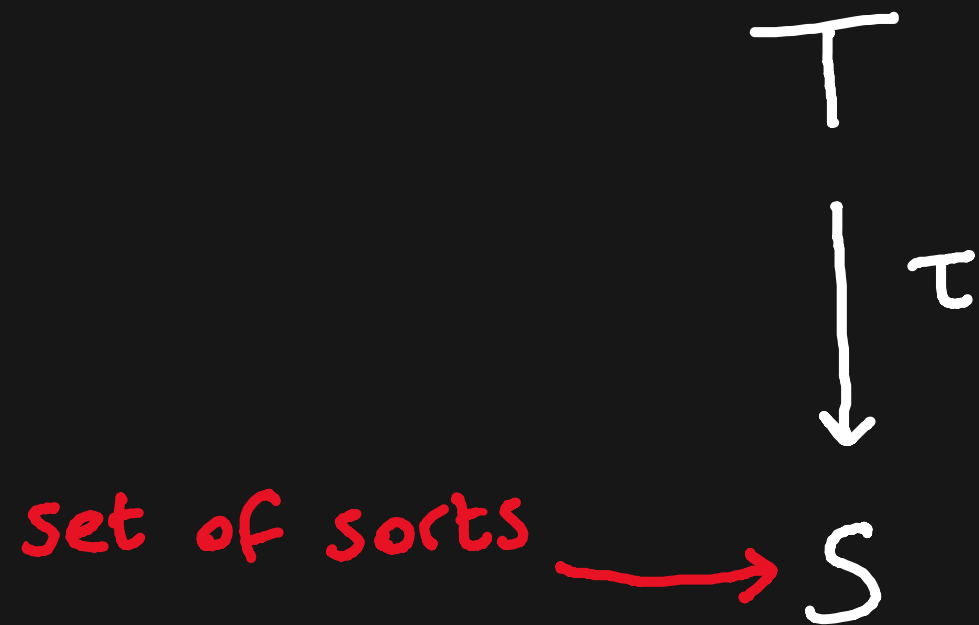
empty context \downarrow
 $1 \in \mathbb{C}$

context extension $\rightarrow \Gamma \times \langle A \rangle \in \mathbb{C} \quad (\Gamma \in \mathbb{C}, A \in S)$

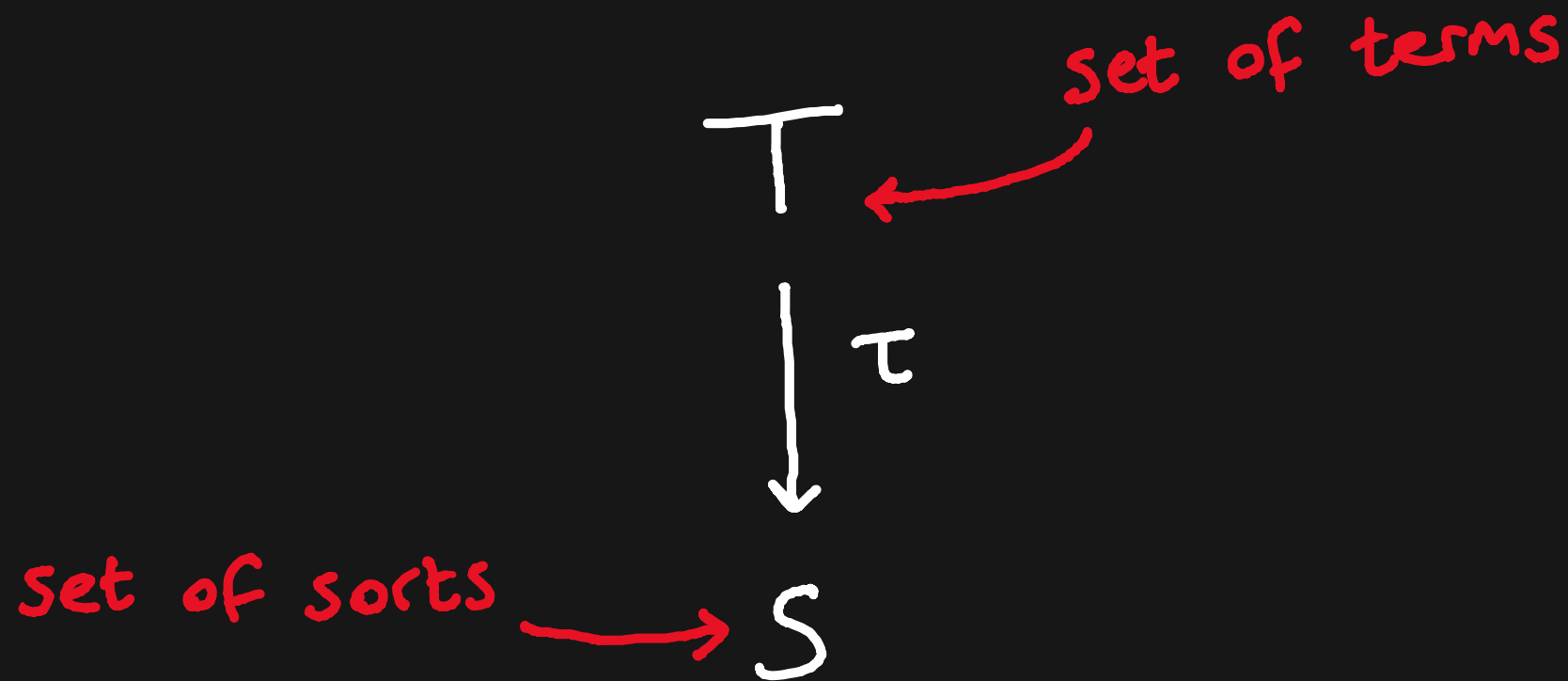
Typed term structures



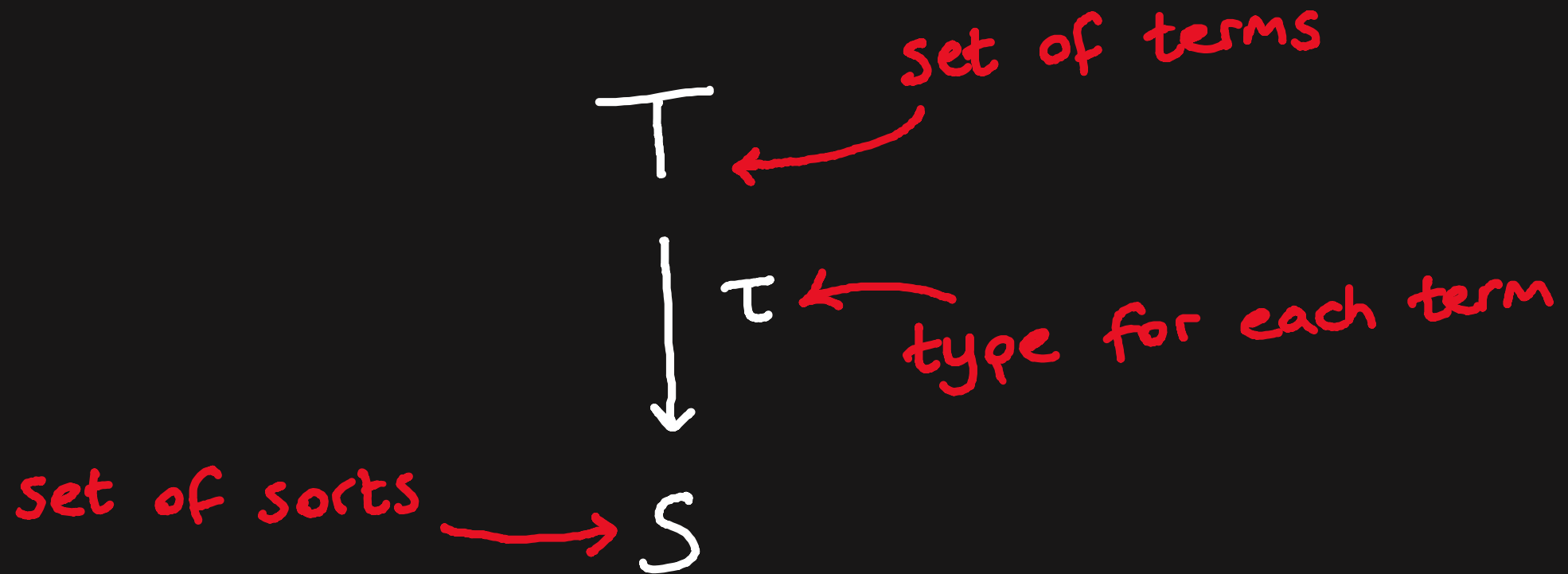
Typed term structures



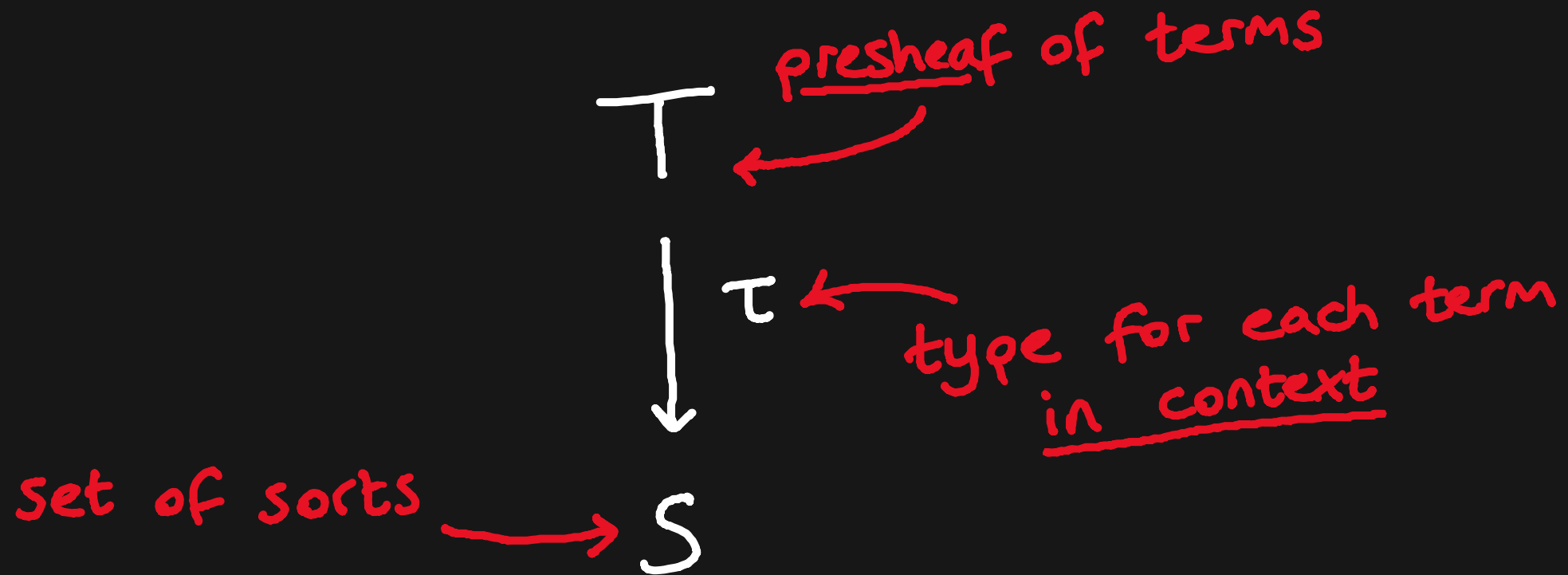
Typed term structures



Typed term structures



Typed term structures



(in $\hat{\mathbb{C}}$)

Typed term structures

Set of terms
in context Γ
(natural in Γ)

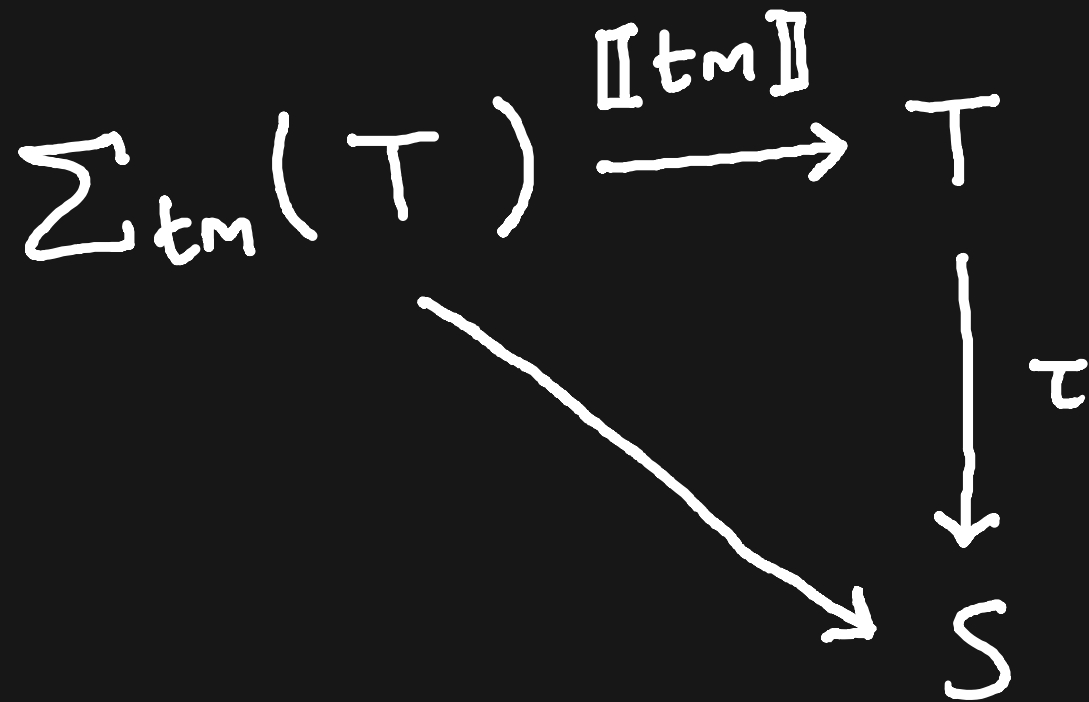
$$\longrightarrow T(\Gamma)$$

$$\downarrow \tau_{\Gamma}$$

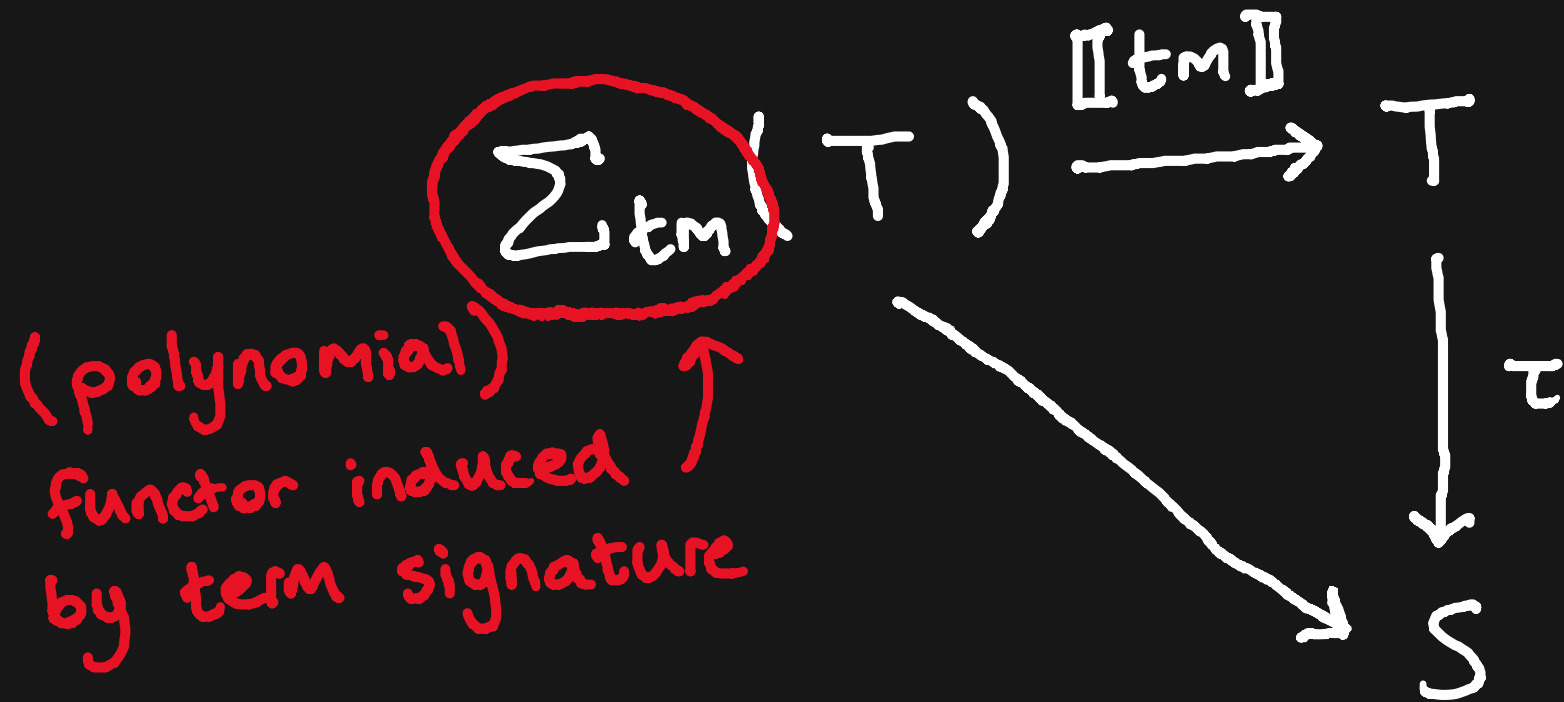
$$S(\Gamma) = S$$

$$(\Gamma \in \mathbb{C})$$

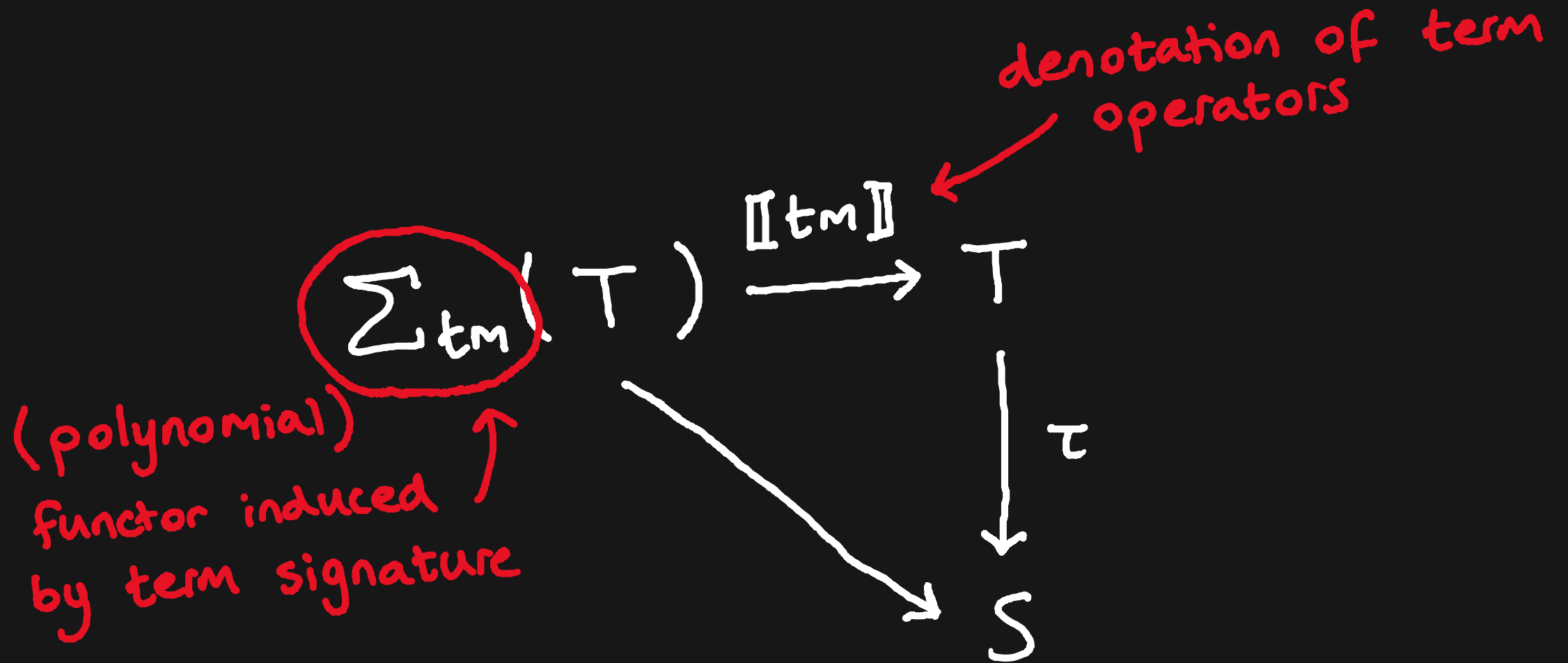
Term algebras (in $\widehat{\mathbb{C}/S}$)



Term algebras (in $\widehat{\mathbb{C}/S}$)

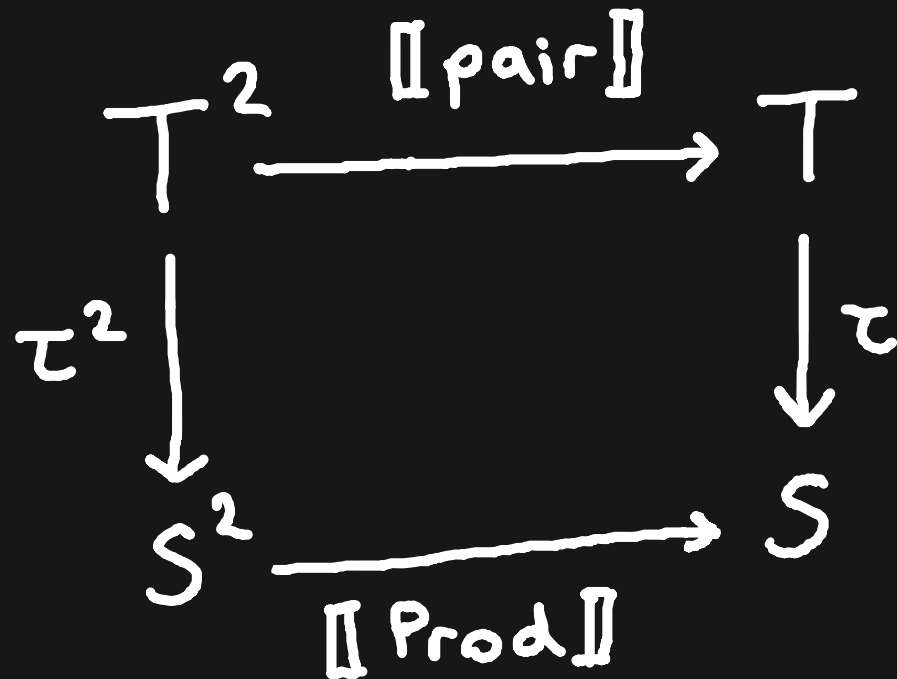


Term algebras (in $\widehat{\mathbb{C}/S}$)



Term algebras (in $\widehat{\mathbb{C}/S}$)

E.g. product introduction.

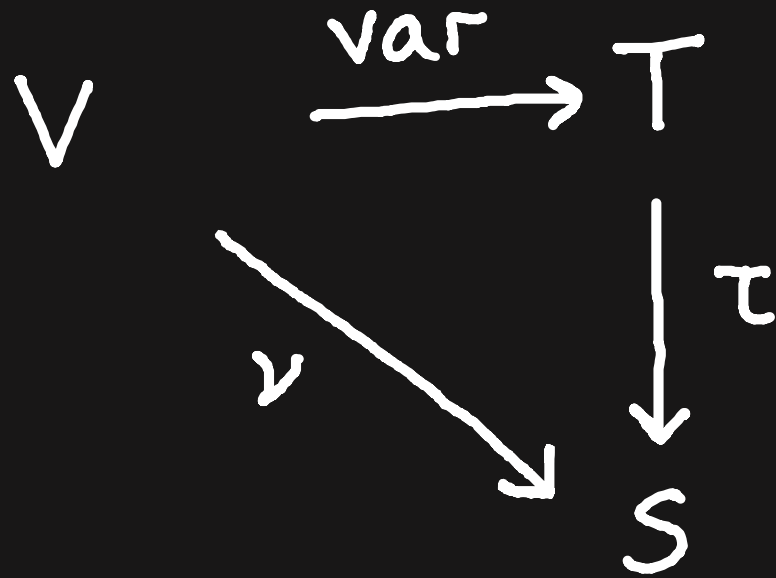


Term algebras (in $\widehat{\mathbb{C}/S}$)

E.g. product introduction.

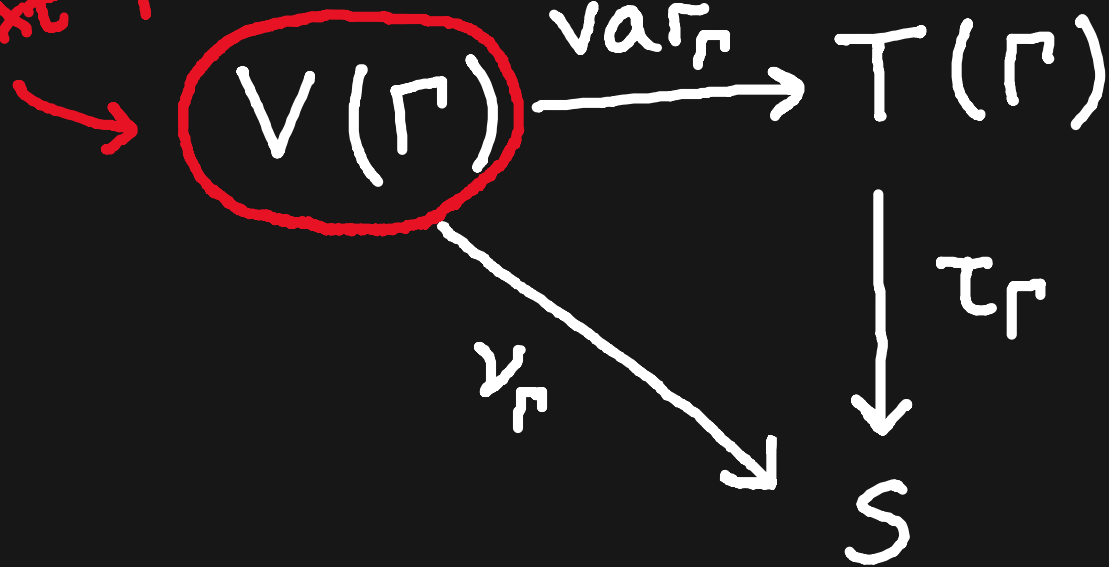
$$\Sigma_{\text{tm}}(T) = \begin{array}{ccc} T^2 & \xrightarrow{\llbracket \text{pair} \rrbracket} & T \\ \tau^2 \downarrow & \searrow & \downarrow \tau \\ S^2 & \xrightarrow{\llbracket \text{Prod} \rrbracket} & S \end{array}$$

Substitution structure (part i)

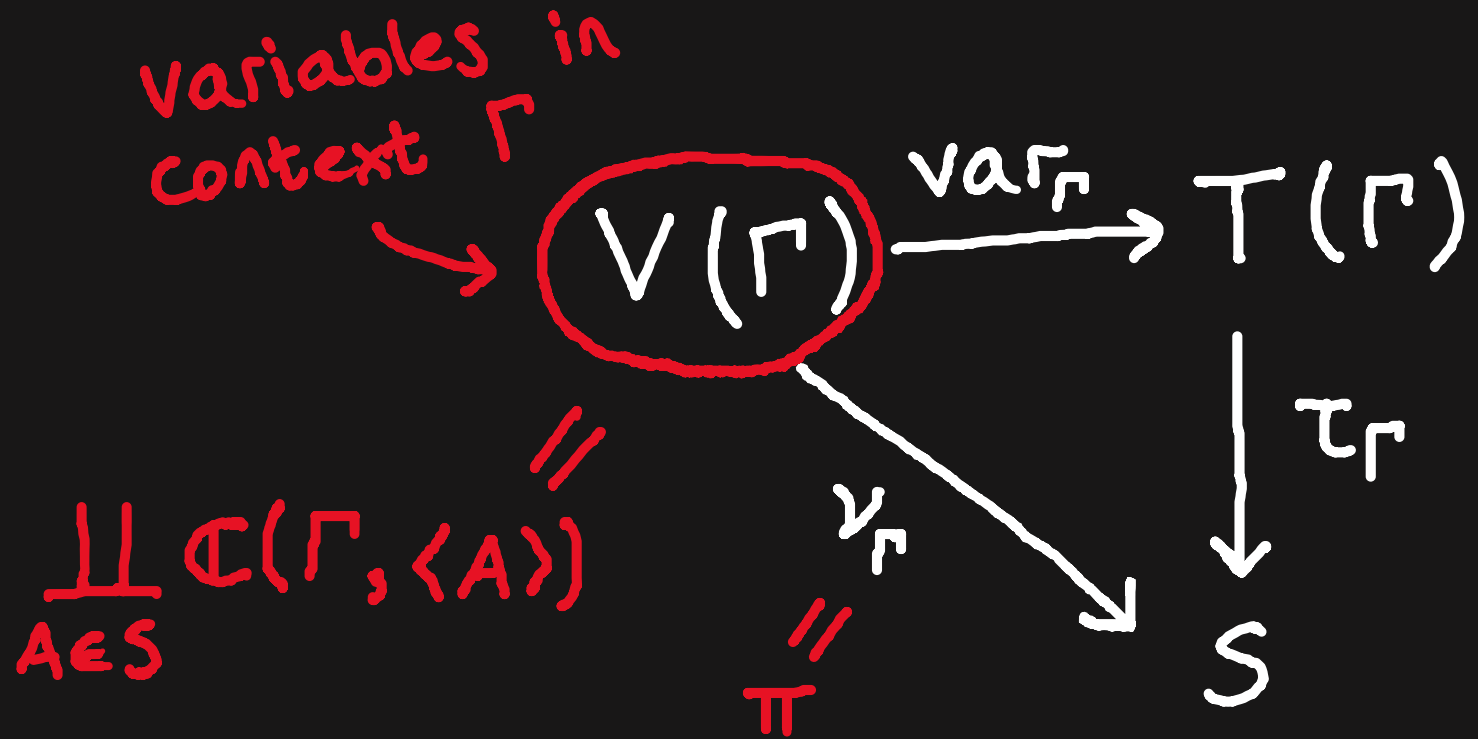


Substitution structure (part i)

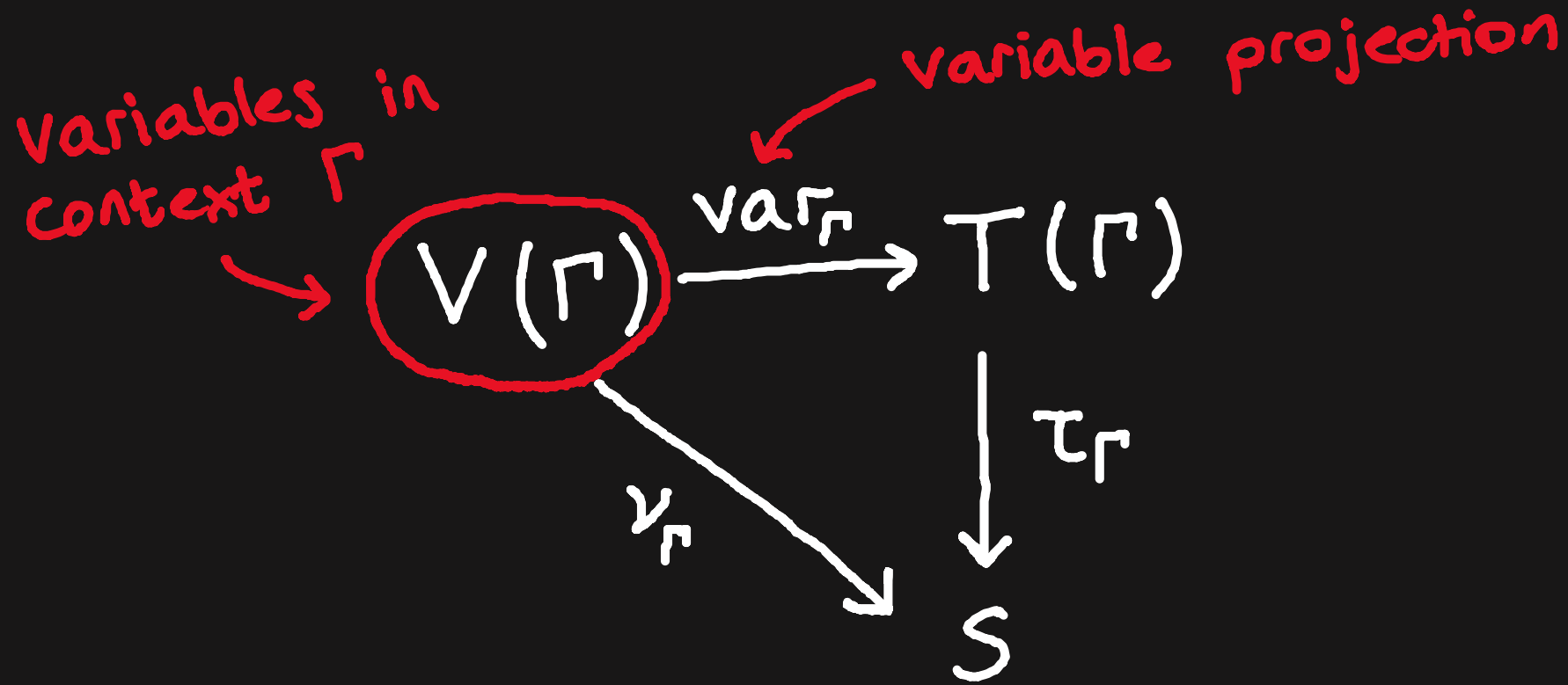
Variables in
context Γ



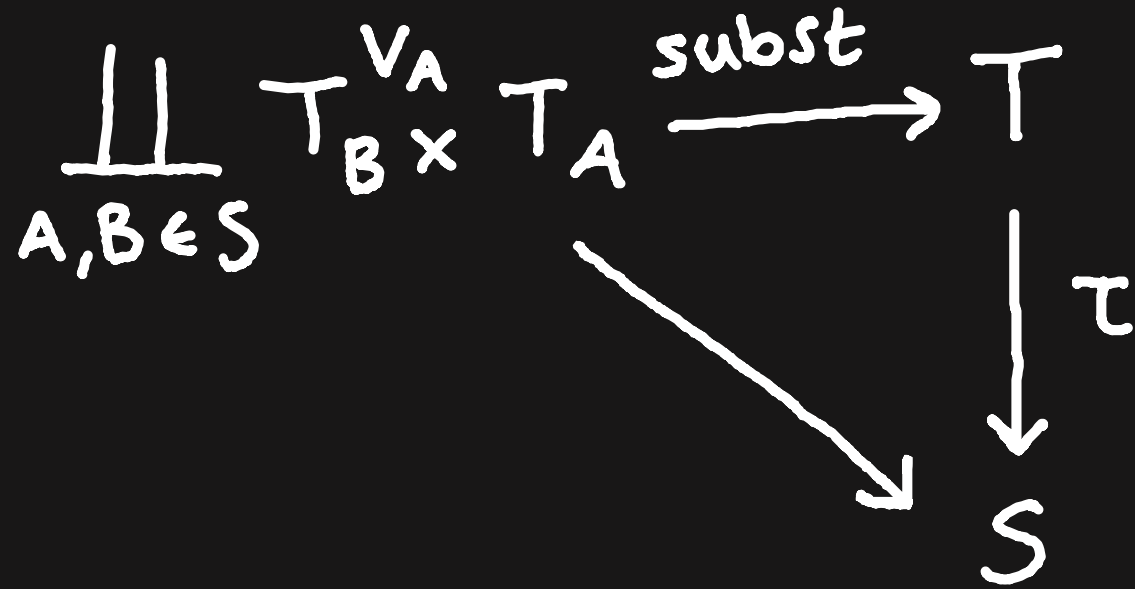
Substitution structure (part i)



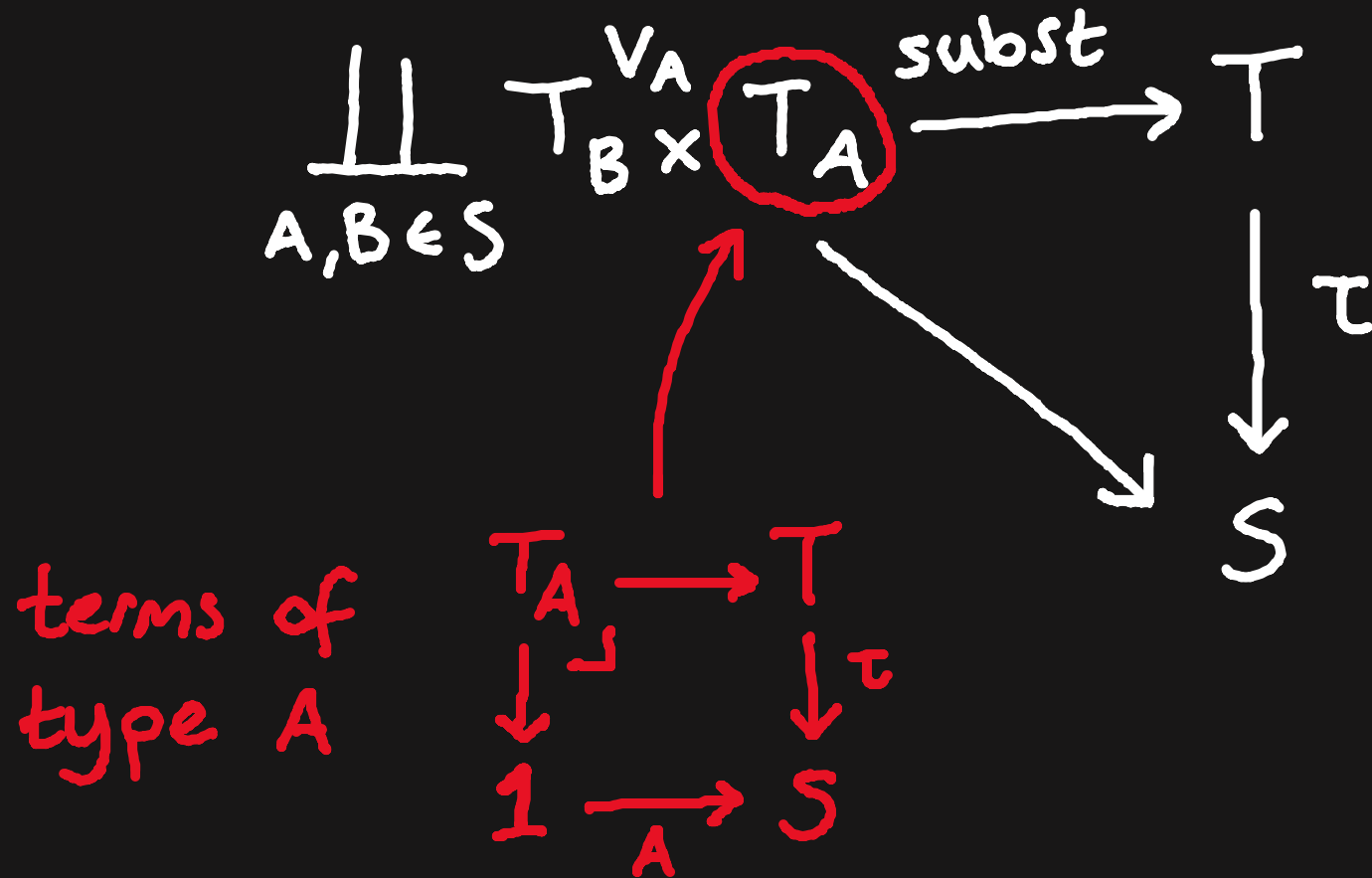
Substitution structure (part i)



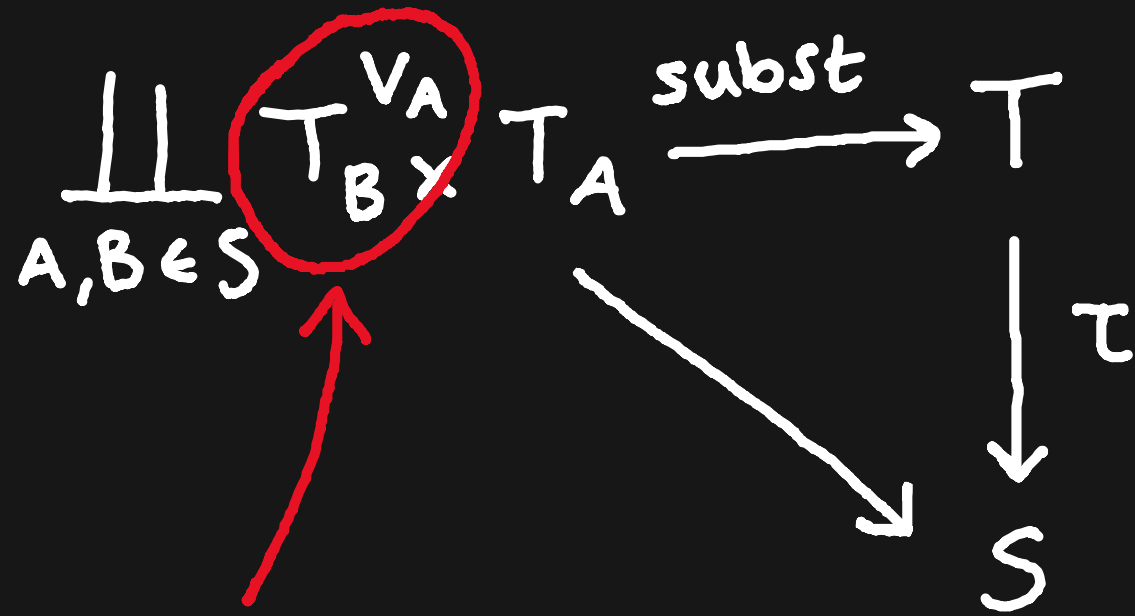
Substitution structure (part ii)



Substitution structure (part ii)

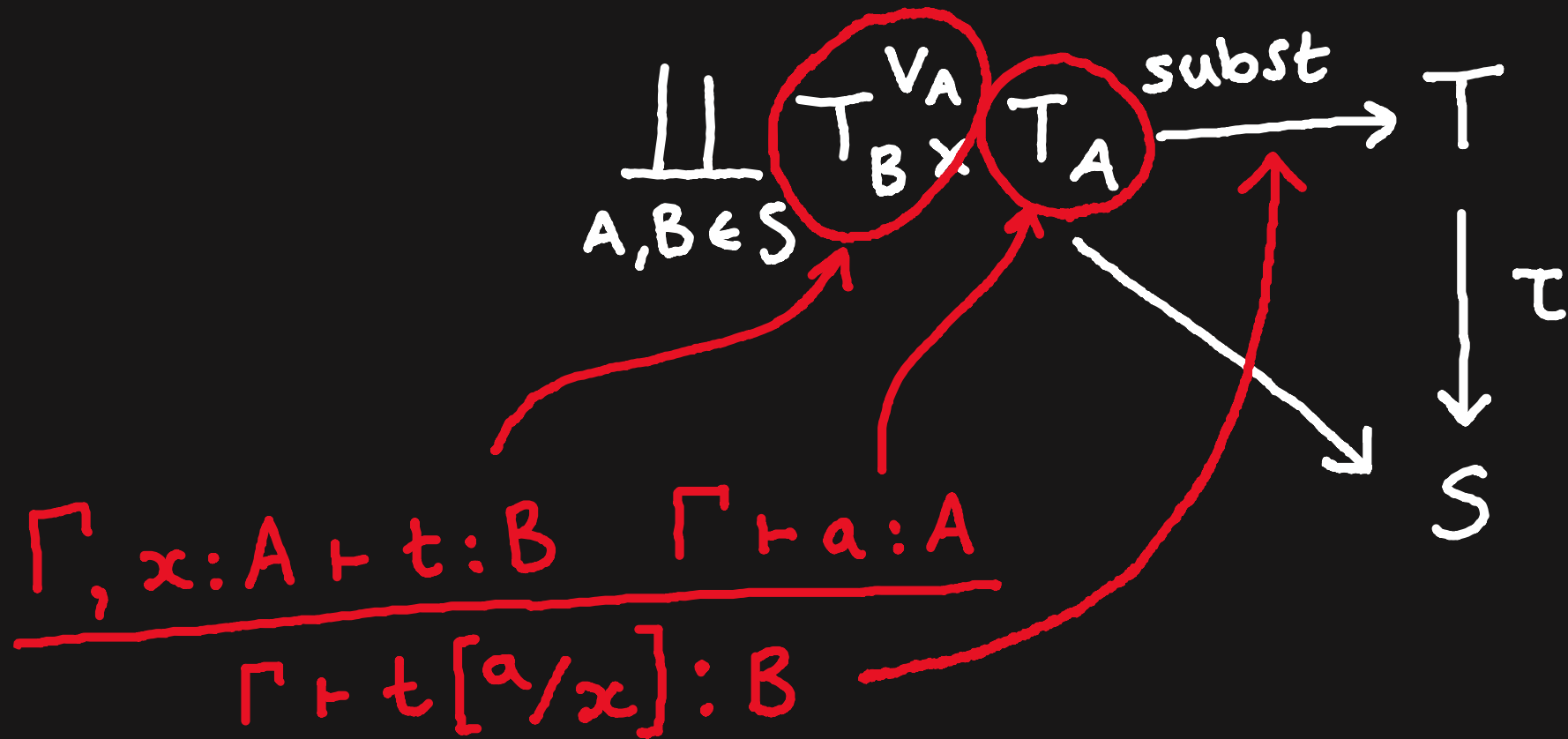


Substitution structure (part ii)

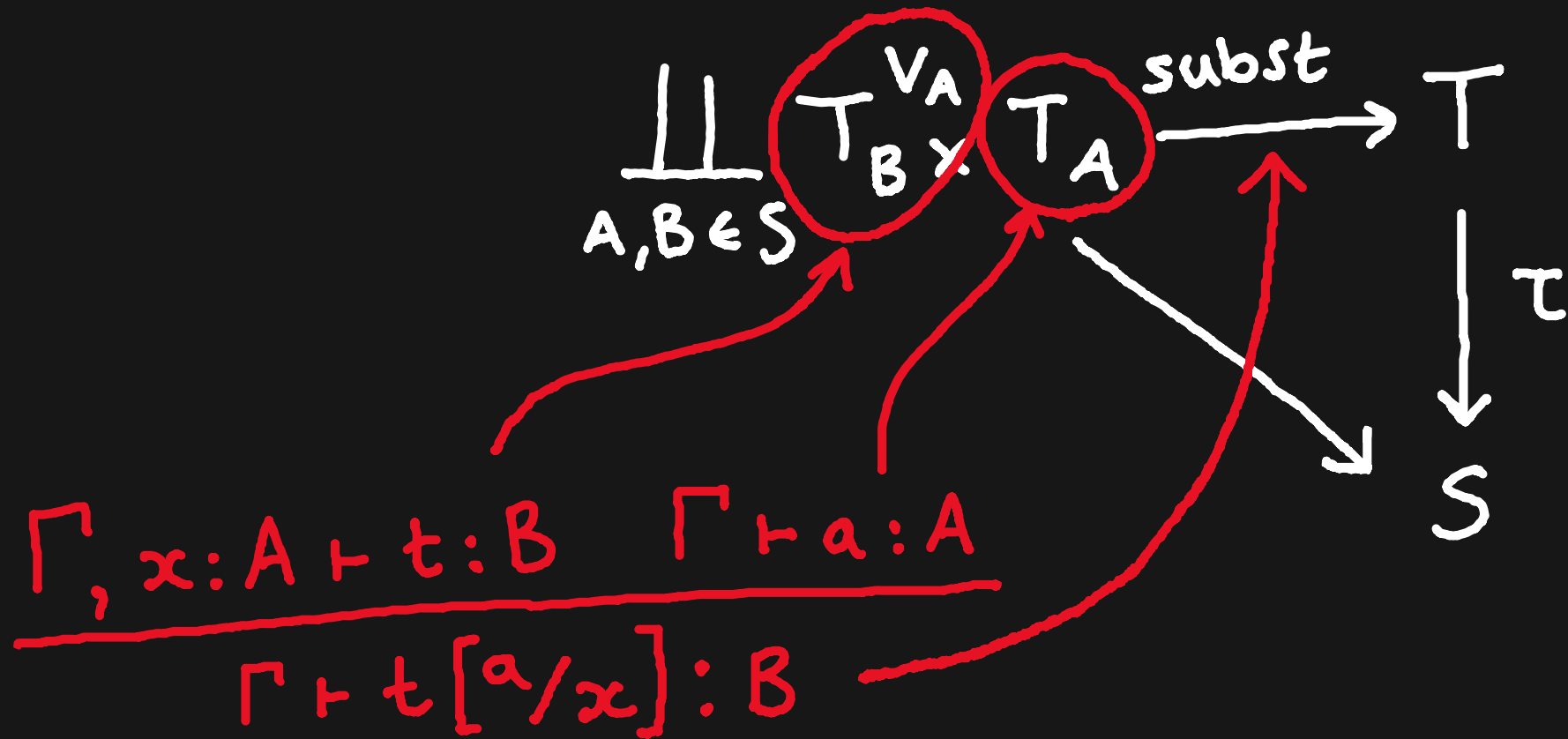


$$T_B^{VA}(\Gamma) \cong T_B(\Gamma, x:A)$$

Substitution structure (part ii)



Substitution structure (part ii)



(+ axioms & coherence laws)

Algebraic models of simple type theories

- Type algebra.
- Context structure.
- Term algebra.

Simply-typed
syntax

-
-
-
-
-
-
-
-
- Substitution structure.
 - ... subject to equations.

Simple type
theory

Meta-theory

Meta-theory

- Initiality theorem.
(Syntactic model is initial.)

Meta-theory

- Initiality theorem.
(Syntactic model is initial.)
- Substitution lemma.
(Substitution is admissible.)

Meta-theory

- Initiality theorem.
(Syntactic model is initial.)
- Substitution lemma.
(Substitution is admissible.)
- General Lambek theorem.
(Multisubstitutional models of simple type theories are equivalent to cartesian multicategories with corresponding structure.)

Summary

- A framework for simple type theories.
- Syntax (signatures & presentations) & semantics (models for simply typed syntax & simple type theories).
- Meta-theory (initiality theorem, substitution lemma, general Lambek theorem).

Summary

- A framework for simple type theories.
- Syntax (signatures & presentations) & semantics (models for simply typed syntax & simple type theories).
- Meta-theory (initiality theorem, substitution lemma, general Lambek theorem).
- A new perspective on natural deduction rules inducing polynomials.

Lightning introduction to polynomials

① $I \xleftarrow{s} A \xrightarrow{f} B \xrightarrow{t} J$ (in \mathcal{E})

polynomial \nearrow

Lightning introduction to polynomials

$$\textcircled{1} \quad I \xleftarrow{s} A \xrightarrow{f} B \xrightarrow{t} J \quad (\text{in } \mathcal{C})$$

polynomial \nearrow

$$\textcircled{2} \quad (X_i \mid i \in I) \mapsto \left(\sum_{b \in B_j} \prod_{a \in A_b} X_{s(a)} \mid j \in J \right)$$

$$\mathcal{C}/I \longrightarrow \mathcal{C}/J \quad \nwarrow \text{polynomial functor}$$

Lightning introduction to polynomials

$$\textcircled{1} \quad I \xleftarrow{s} A \xrightarrow{f} B \xrightarrow{t} J \quad (\text{in } \mathcal{C})$$

polynomial \nearrow sum of products with reindexing

$$\textcircled{2} \quad (X_i \mid i \in I) \mapsto \left(\sum_{b \in B_j} \prod_{a \in A_b} X_{s(b)} \mid j \in J \right)$$

$$\mathcal{C}/I \longrightarrow \mathcal{C}/J \quad \nearrow \text{polynomial functor}$$

Natural deduction rules induce polynomials

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{pair}(a, b) : \text{Prod}(A, B)} \quad (\text{Prod-INTRO})$$

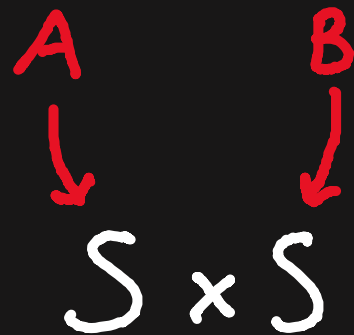
Natural deduction rules induce polynomials

$$(\forall A, B) \frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{pair}(a, b) : \text{Prod}(A, B)} \text{ (Prod-INTRO)}$$

Natural deduction rules induce polynomials

$$\text{(\forall A, B)} \frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{pair}(a, b) : \text{Prod}(A, B)} \text{ (Prod-INTRO)}$$

type metavariables



Natural deduction rules induce polynomials

$$(\forall A, B) \frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{pair}(a, b) : \text{Prod}(A, B)} \text{ (Prod-INTRO)}$$

conclusion type

$$S \times S \xrightarrow{[\text{Prod}]} S$$

$(A, B) \mapsto [\text{Prod}](A, B)$

Natural deduction rules induce polynomials

two premisses

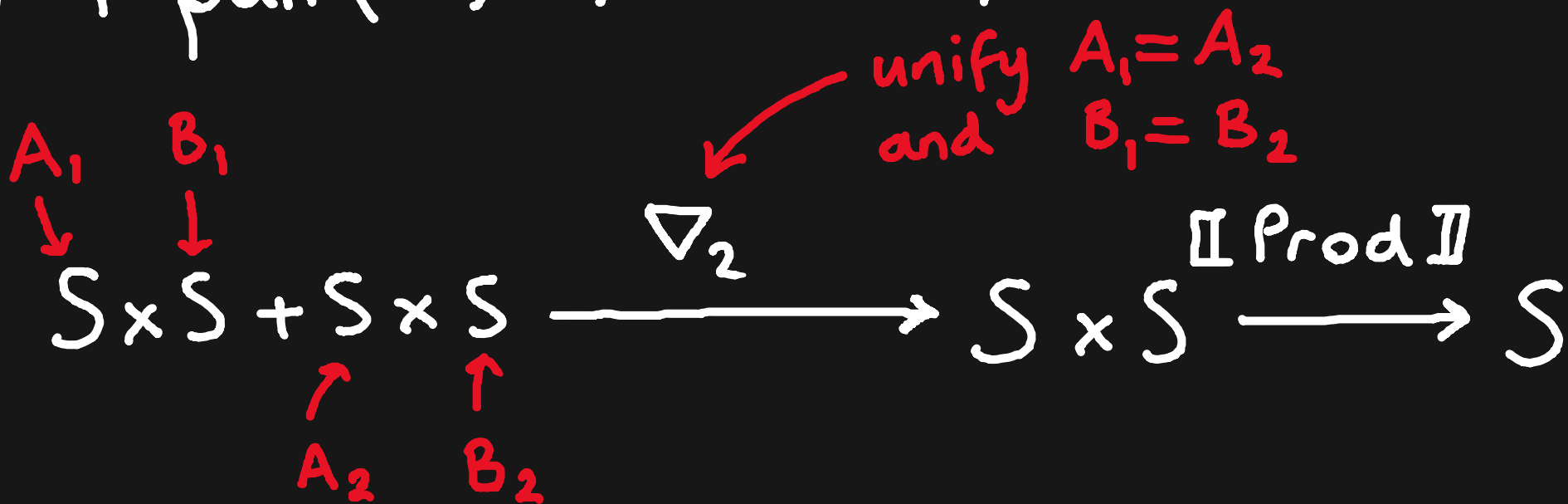
$$(\forall A, B) \frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{pair}(a, b) : \text{Prod}(A, B)} \text{ (Prod-INTRO)}$$

$$\Gamma \vdash a : A \quad \Gamma \vdash b : B$$

$$\underbrace{S \times S} + \underbrace{S \times S} \longrightarrow S \times S \xrightarrow{\text{[Prod]}} S$$

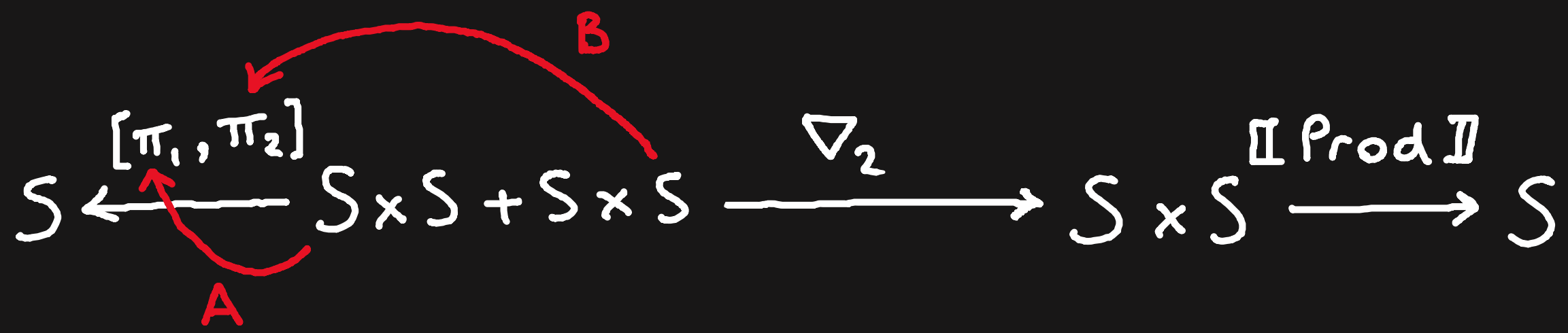
Natural deduction rules induce polynomials

$$(\forall A, B) \frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{pair}(a, b) : \text{Prod}(A, B)} \text{ (Prod-INTRO)}$$



Natural deduction rules induce polynomials

$$(\forall A, B) \frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{pair}(a, b) : \text{Prod}(A, B)} \text{ (Prod-INTRO)}$$



Natural deduction rules induce polynomials

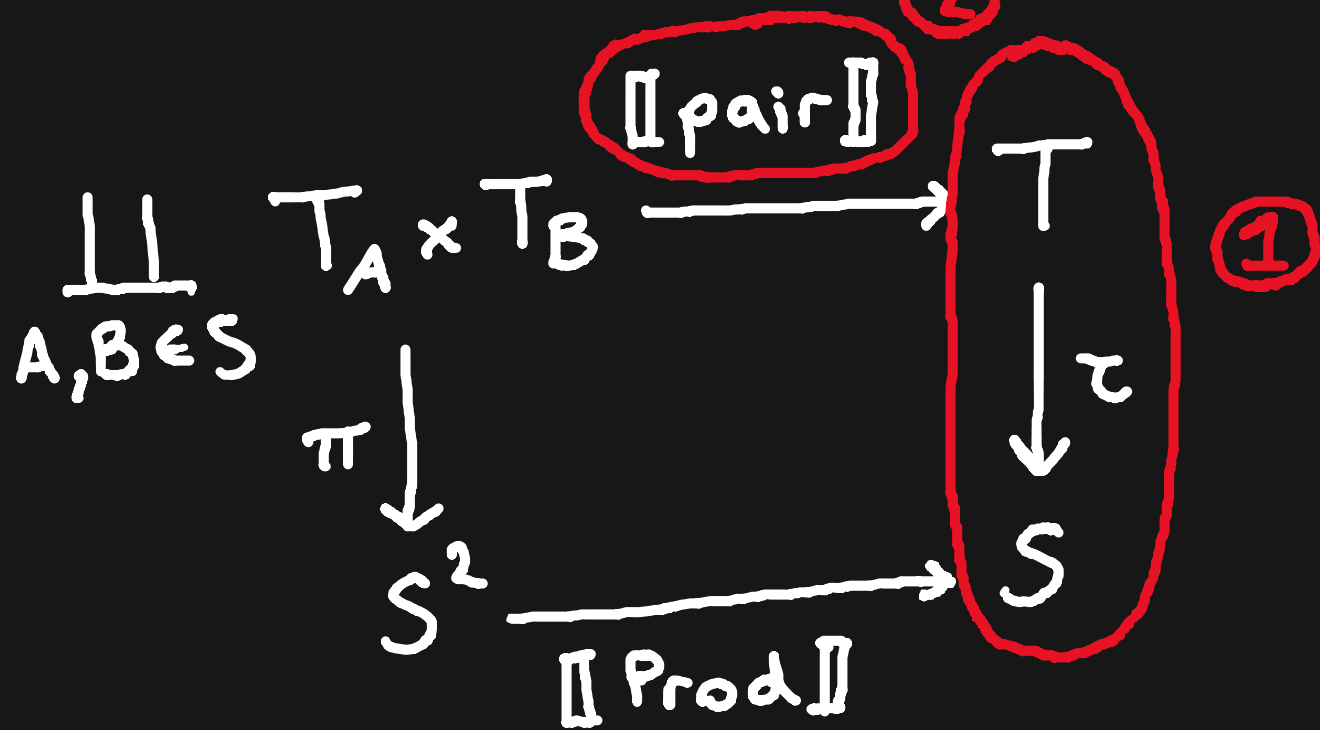
$$(\forall A, B) \frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{pair}(a, b) : \text{Prod}(A, B)} \text{ (Prod-INTRO)}$$

$$S \xleftarrow{[\pi_1, \pi_2]} S \times S + S \times S \xrightarrow{\nabla_2} S \times S \xrightarrow{[\text{Prod}]} S$$

Natural deduction rules induce polynomials

An algebra for the polynomial functor induced

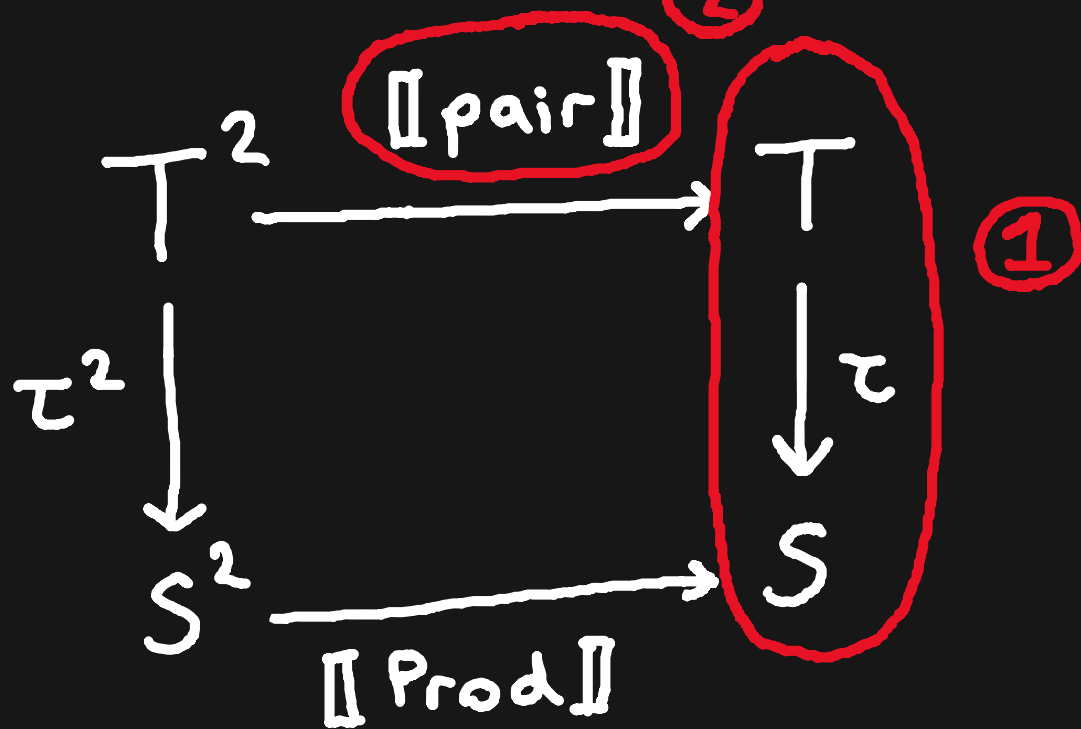
by $S \xleftarrow{[\pi_1, \pi_2]} S^2 + S^2 \xrightarrow{\nabla_2} S^2 \xrightarrow{[\text{Prod}]} S$ is given by:



Natural deduction rules induce polynomials

An algebra for the polynomial functor induced

by $S \xleftarrow{[\pi_1, \pi_2]} S^2 + S^2 \xrightarrow{\nabla_2} S^2 \xrightarrow{[\text{Prod}]} S$ is given by:



Natural deduction rules induce polynomials

$$\frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t) : \text{Fun}(A,B)} \quad (\text{Fun-INTRO})$$

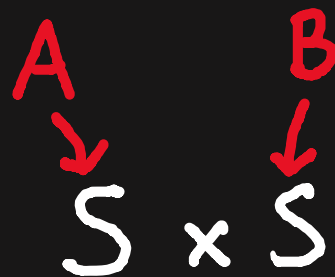
Natural deduction rules induce polynomials

$$(\forall A, B) \frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t) : \text{Fun}(A, B)} \quad (\text{Fun-INTRO})$$

Natural deduction rules induce polynomials

$$\text{(}\forall A, B\text{)} \frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t) : \text{Fun}(A, B)} \text{(Fun-INTRO)}$$

type metavariables



Natural deduction rules induce polynomials

$$(\forall A, B) \frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t) : \text{Fun}(A, B)} \quad (\text{Fun-INTRO})$$

conclusion type

$\llbracket \text{Fun} \rrbracket$

$$S \times S \longrightarrow S$$

$$(A, B) \mapsto \llbracket \text{Fun} \rrbracket(A, B)$$

Natural deduction rules induce polynomials

one premiss

$$(\forall A, B) \frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t) : \text{Fun}(A, B)} \quad (\text{Fun-INTRO})$$

$\Gamma, x:A \vdash t:B$

$V \times S$

$\llbracket \text{Fun} \rrbracket$

$S \times S \longrightarrow S$

Natural deduction rules induce polynomials

bound variable

$$\text{(}\forall A, B\text{)} \frac{\Gamma, x:A \vdash t : B}{\Gamma \vdash \text{abs}(x.t) : \text{Fun}(A, B)} \text{(Fun-INTRO)}$$

the type metavariable A
is bound in this premiss

→

$$\textcircled{V} \times S$$

$$\text{[Fun]} \\ S \times S \longrightarrow S$$

Natural deduction rules induce polynomials

$$(\forall A, B) \frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \text{abs}(x.t) : \text{Fun}(A, B)} \quad (\text{Fun-INTRO})$$

forget binding structure

$$V \times S \xrightarrow{v \times \text{id}} S \times S \xrightarrow{[\text{Fun}]}} S$$

Natural deduction rules induce polynomials

$$(\forall A, B) \frac{\Gamma, x: A \vdash t: \textcircled{B}}{\Gamma \vdash \text{abs}(x.t) : \text{Fun}(A, B)} \quad (\text{Fun-INTRO})$$

$$S \xleftarrow{\pi_2} V \times S \xrightarrow{v \times \text{id}} S \times S \xrightarrow{[\text{Fun}]} S$$

A red curved arrow labeled B points from the S in $S \times S$ back to the S in $V \times S$.

Natural deduction rules induce polynomials

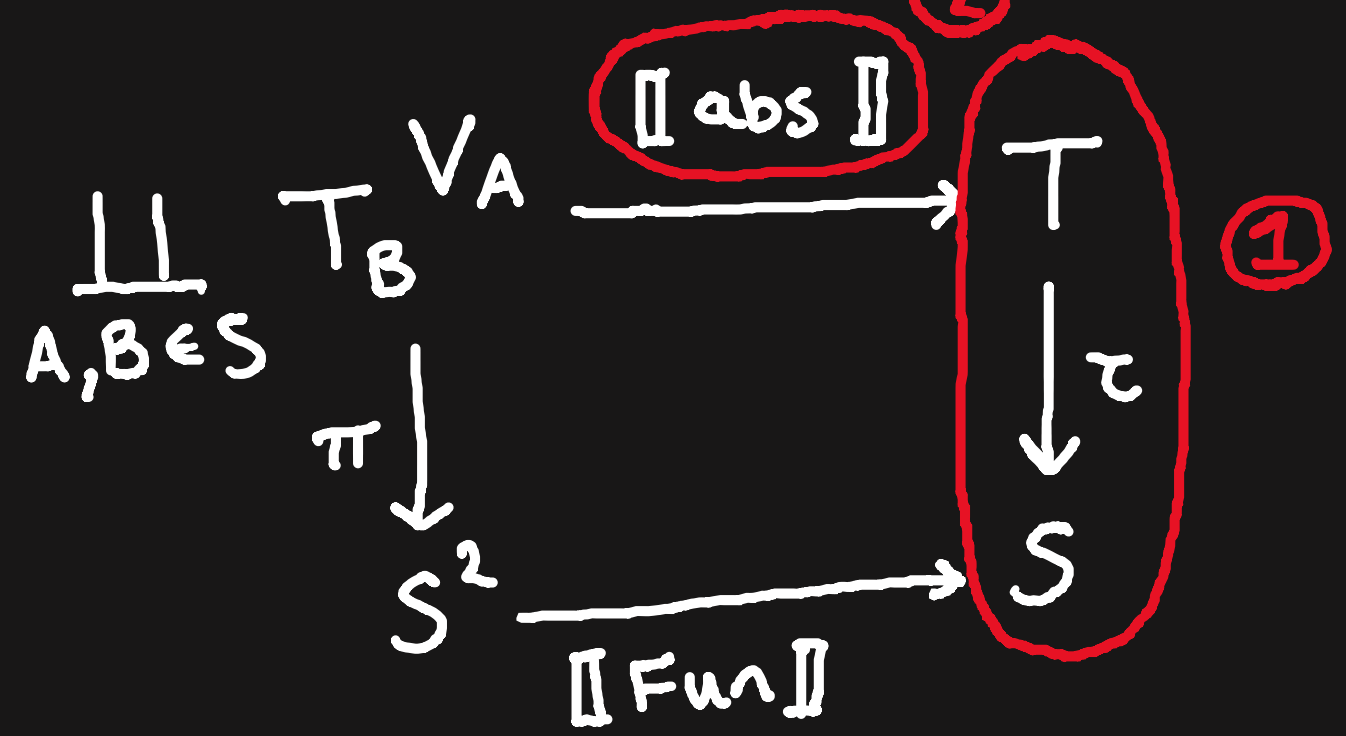
$$(\forall A, B) \frac{\Gamma, x: A \vdash t: B}{\Gamma \vdash \text{abs}(x.t): \text{Fun}(A, B)} \quad (\text{Fun-INTRO})$$

$$S \xleftarrow{\pi_2} V \times S \xrightarrow{v \times \text{id}} S \times S \xrightarrow{[\text{Fun}]} S$$

Natural deduction rules induce polynomials

An algebra for the polynomial functor induced

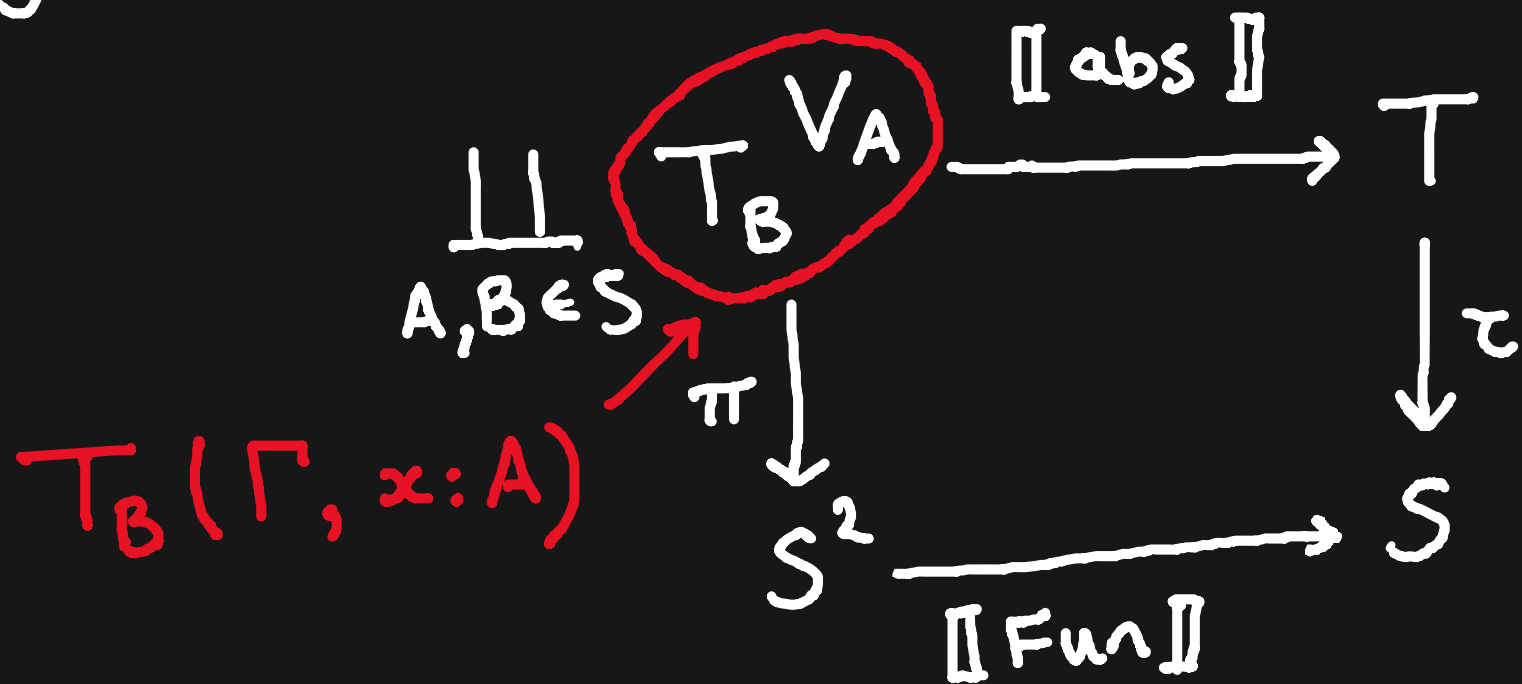
by $S \leftarrow \pi_2 V \times S \xrightarrow{v \times id} S^2 \xrightarrow{[Fun]} S$ is given by:



Natural deduction rules induce polynomials

An algebra for the polynomial functor induced

by $S \leftarrow \pi_2 V \times S \xrightarrow{v \times id} S^2 \xrightarrow{[Fun]} S$ is given by:



Summary

- A framework for simple type theories.
- Syntax (signatures & presentations) & semantics (models for simply typed syntax & simple type theories).
- Meta-theory (initiality theorem, substitution lemma, general Lambek theorem).
- A new perspective on natural deduction rules inducing polynomials, whose algebras are models of the rule.